

SIGA TECHNOLOGIES INC

Form 4

December 12, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Luckshire Daniel J

2. Issuer Name **and** Ticker or Trading  
Symbol  
SIGA TECHNOLOGIES INC  
[SIGA]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O SIGA TECHNOLOGIES,  
INC., 660 MADISON AVENUE,  
SUITE 1700

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/08/2016

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Executive VP & CFO

(Street)  
NEW YORK, NY 10065

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock, par<br>value<br>\$.0001 per<br>share | 12/08/2016                              |                                                             | M                                       | 33,335 A \$ 0                                                           | 147,687                                                                                                            | D                                                                    |                                                                   |
| Common<br>Stock, par<br>value<br>\$.0001 per<br>share | 12/08/2016                              |                                                             | M                                       | 33,333 A \$ 0                                                           | 181,020                                                                                                            | D                                                                    |                                                                   |

|                                                       |            |   |               |   |            |         |   |
|-------------------------------------------------------|------------|---|---------------|---|------------|---------|---|
| Common<br>Stock, par<br>value<br>\$.0001 per<br>share | 12/08/2016 | F | 24,611<br>(1) | D | \$<br>3.13 | 156,409 | D |
|-------------------------------------------------------|------------|---|---------------|---|------------|---------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8.                                                    |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                                 | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units                        | (2)                                                                   | 12/08/2016                              |                                                             | M                                       |                                                                                                              | 33,335                                                         |     | (2)                                                                 | (2)                | Common<br>Stock, par<br>value<br>\$.0001<br>per share | 33,335                              |
| Restricted<br>Stock<br>Units                        | (3)                                                                   | 12/08/2016                              |                                                             | M                                       |                                                                                                              | 33,333                                                         |     | (3)                                                                 | (3)                | Common<br>Stock, par<br>value<br>\$.0001<br>per share | 33,333                              |

## Reporting Owners

| Reporting Owner Name / Address                                                                            | Relationships |           |                       |       |
|-----------------------------------------------------------------------------------------------------------|---------------|-----------|-----------------------|-------|
|                                                                                                           | Director      | 10% Owner | Officer               | Other |
| Luckshire Daniel J<br>C/O SIGA TECHNOLOGIES, INC.<br>660 MADISON AVENUE, SUITE 1700<br>NEW YORK, NY 10065 |               |           | Executive<br>VP & CFO |       |

## Signatures

/s/ Daniel J.  
Luckshire

12/12/2016

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- SIGA Technologies, Inc. (the "Issuer") has certain tax withholding obligations associated with the vesting of restricted stock units ("RSUs") and the consequent issuance of Common Stock of the Issuer. This Form 4 reports the surrender to the Issuer by the Reporting Person of 24,611 shares of Common Stock -- granted in the form of RSUs on January 3, 2013 and January 3, 2014 -- in order to satisfy those withholding tax obligations.
- (1)
  - (2) Represents vesting and conversion of certain RSUs granted on January 3, 2013. Each RSU converts into one share of Common Stock of the Issuer on a one for one basis.
  - (3) Represents vesting and conversion of certain RSUs granted on January 3, 2014. Each RSU converts into one share of Common Stock of the Issuer on a one for one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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