

CytomX Therapeutics, Inc.
Form 4
August 11, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GLUCK FREDERICK W

(Last) (First) (Middle)

743 SAN YSIDRO ROAD

(Street)

SANTA BARBARA, CA 91308

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CytomX Therapeutics, Inc. [CTMX]

3. Date of Earliest Transaction
(Month/Day/Year)

08/09/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/09/2016		M	31,767 A	\$ 1.1339 179,558	D	
Common Stock	08/09/2016		M	16,612 A	\$ 0.945 196,170	D	
Common Stock	08/09/2016		M	27,606 A	\$ 1.4489 223,776	D	
Common Stock	08/09/2016		M	17,139 A	\$ 1.5749 240,915	D	
Common Stock					331,643	I	By Frederick W. Gluck

Common Stock	22,111	I	1997 Family Trust dtd July 28, 1997 ⁽¹⁾ By Richlin Partners, LLC ⁽²⁾
Common Stock	3,200	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Stock Option (right to buy)	\$ 1.1339	08/09/2016		M	31,767	⁽³⁾ 03/23/2021	Common Stock	31,767
Stock Option (right to buy)	\$ 0.945	08/09/2016		M	16,612	⁽³⁾ 02/25/2023	Common Stock	16,612
Stock Option (right to buy)	\$ 1.4489	08/09/2016		M	27,606	⁽³⁾ 05/23/2024	Common Stock	27,606
Stock Option (right to buy)	\$ 1.5749	08/09/2016		M	17,139	⁽³⁾ 02/08/2025	Common Stock	17,139

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GLUCK FREDERICK W 743 SAN YSIDRO ROAD SANTA BARBARA, CA 91308	X			

Signatures

/s/ Cynthia J. Ladd, as Attorney-in-Fact for Frederick W. Gluck 08/11/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is a trustee of Frederick W. Gluck 1997 Family Trust dtd July 28, 1997.
- (2) Richlin Partners, LLC is an entity owned of record by the spouse of the Reporting Person.
- (3) 100% of the shares subject to the option are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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