# Edgar Filing: ALIGN TECHNOLOGY INC - Form 144

ALIGN TECHNOLOGY INC Form 144 August 27, 2014

UNITED STATES	OMB APPROVAL
SECURITIES AND EXCHANGE COMMISSION	OMB 3235-0101 Number:
Washington, D.C. 20549	Expires: May 31, 2014
	Estimated average burden
FORM 144	hours per 1.00 response
NOTICE OF PROPOSED SALE OF SECURITIES	SEC USE ONLY
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933	DOCUMENT SEQUENCE NO.

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

		(b) IRS IDENT. NO.	(c) S.E.C. FILE NO			ORK ATION	
Align Tech			94-3267295	00032259			
1 (d) ADDRESS OF ISSUER	STREET		CITY	STATE	ZIP CODE	(e) TELE NO	PHONE
	2560 ORCHAR	RD PKWY	SAN JOSE	CA	95131	4084701	000
2 (a) NAME OF PE FOR WHOSE ACC THE SECURITIES TO BE SOLD	OUNT	(b) RELATIONSHIP TO ISSUER	(c) ADDRESS	STREET	CITY	STATE	ZIP CODE
ROGER GEORGE HANNA GEORGE		AFFILIATE	2560 ORCHA	RD PKWY	SAN JOSE	CA	95131

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	(b)	SEC USE ONLY	(c)	(d)	(e)	(f)	(g)
Title of the			Number of Shares	Aggregate	Number of Shares	Approximate	Name of Each
Class of	Name and Address of Each Broker Through	Broker-Dealer	or Other Units	Market	or Other Units	Date of Sale	Securities

CUSIP NUMBER

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	Whom the					
Securities To Be Sold	Securities are to be File Number Offered or Each Market Maker	To Be Sold	Value	Outstanding	(See instr. 3(f))	Exchange
	who is Acquiring the Securities	(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))
COMMON	DEUTSCHE BANK SECURITIES INC. 101 CALIFORNIA ST., 46TH FL SAN FRANCISCO, CA 94111	10,875	607,335	80,793,000	08/27/2014	NASDAQ
INSTRUCT	IONS:					
1. (a)	Name of issuer	3. (a) Titl	e of the class	s of securities	to be sold	
(b)					oker through w	hom the
	Identification Number			tended to be s		6 1 1 4
(c)	Issuer's S.E.C. file number, if any	(c) Number of shares or other units to be sold (if debt				
(d)	-	securities, give the aggregate face amount) (d) Aggregate market value of the securities to be sold as a specified date within 10 days prior to filing of this notice				
(e)	Issuer's telephone number, including area code	<ul> <li>(e) Number of shares or other units of the class outstandin or if debt securities the face amount thereof outstandin as shown by the most recent report or statement published by the issuer</li> <li>(f) Approximate date on which the securities are to be solo</li> </ul>				outstanding, ent
2. (a)	Name of person for whose account the securities are to be sold	(g)Nar	ne of each se		ange, if any, on	
(b)						
(c) ]		the collection	of informat	ion contained	in this form are	e SEC 1147

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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## TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
COMMON	07/31/2014	ESPP	ALIGN TECHNOLOGY	198	07/31/2014	NA
COMMON	08/27/2014	STOCK OPTION EXERCISE	ALIGN TECHNOLOGY INC	10,677	08/27/2014	NA

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of	
		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	Gross Proceeds

# **EXPLANATION OF RESPONSES:**

#### **INSTRUCTIONS:**

**REMARKS:** 

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

#### **ATTENTION:**

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

August 27, 2014

#### DATE OF NOTICE

#### DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF **RELYING ON RULE 10B5-1**

**ATTENTION:** Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)

#### /s/ ROGER GOERGE AND HANNA GOERGE (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.