

LSI INDUSTRIES INC
Form 4
October 03, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KREIDER GARY P

2. Issuer Name and Ticker or Trading Symbol
LSI INDUSTRIES INC [LYTS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1356-1 US 52
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/01/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW RICHMOND, OH 45157
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares				(A)	5,000	I	By IRA
Common Shares				(A)	680	I	Custodian for Grandchildren
Common Shares	10/01/2013		A	1,127	A \$ 8.43	7,471	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: LSI INDUSTRIES INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy <u>(2)</u>	\$ 11.85					<u>(1)</u>	11/13/2013	Common Shares	1,875
Option to Buy <u>(2)</u>	\$ 9.96					<u>(1)</u>	10/27/2014	Common Shares	5,000
Option to Buy <u>(2)</u>	\$ 10.71					<u>(1)</u>	11/18/2014	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 17.02					<u>(1)</u>	11/15/2015	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 17.6					<u>(1)</u>	08/24/2016	Common Shares	2,500
Option to Buy <u>(2)</u>	\$ 17.55					<u>(1)</u>	11/14/2016	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500
Option to Buy <u>(2)</u>	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500

