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TRUSTCO BANK CORP N Y

Form 13F-HR

October 11, 2012

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F  
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 9/30/2012

Check here if Amendment ☐; Amendment Number:

This Amendment (Check only one.):

☐ is a restatement.

☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: TRUSTCO BANK CORP NY

Address: 5 SARNOWSKI DRIVE  
GLENVILLE, NY 12302

Form 13F File Number: 28-06657

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this submission.

Persons Signing this Report on Behalf of Reporting Manager:

Name: RICHARD W PROVOST  
Title: SENIOR TRUST OFFICER  
Phone: 518-381-3643

Signature, Place, and Date of Signing:

|                       |               |            |
|-----------------------|---------------|------------|
| /s/ RICHARD W PROVOST | GLENVILLE, NY | 10/11/2012 |
| -----                 | -----         | -----      |
| [Signature]           | [City, State] | [Date]     |

Report Type (Check only one):

- ☒ 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- ☐ 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- ☐ 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

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## Form 13F SUMMARY PAGE

### Report Summary:

Number of Other Included Managers: 1

Form 13F Information Table Entry Total: 189

Form 13F Information Table Value Total: \$ 102,997  
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 (In Thousands)

### List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No.  | Form 13F File Number | Name         |
|------|----------------------|--------------|
| 7000 | 028-10307            | TRUSTCO BANK |

## FORM 13F INFORMATION TABLE

| COLUMN 1                      | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6             | COLUMN 7                 |
|-------------------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|
| NAME OF ISSUER                | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| AT&T INC                      | COM            | 00206R102 | 1717               | 45544              | SH                   | DEFINED                  |
| AT&T INC                      | COM            | 00206R102 | 628                | 16650              | SH                   | OTHER                    |
|                               |                |           | 0                  | 0                  |                      | OTHER                    |
| ABBOTT LABORATORIES           | COM            | 2824100   | 2920               | 42585              | SH                   | DEFINED                  |
|                               |                |           | 0                  | 0                  |                      | DEFINED                  |
| ABBOTT LABORATORIES           | COM            | 2824100   | 462                | 6745               | SH                   | OTHER                    |
|                               |                |           | 0                  | 0                  |                      | OTHER                    |
| AIR PRODUCTS & CHEMICALS INC  | COM            | 9158106   | 380                | 4600               | SH                   | DEFINED                  |
| ALCOA INC                     | COM            | 13817101  | 91                 | 10300              | SH                   | DEFINED                  |
| ALCOA INC                     | COM            | 13817101  | 5                  | 600                | SH                   | OTHER                    |
| ALLSTATE CORP                 | COM            | 20002101  | 204                | 5150               | SH                   | DEFINED                  |
| ALLSTATE CORP                 | COM            | 20002101  | 48                 | 1200               | SH                   | OTHER                    |
|                               |                |           | 0                  | 0                  |                      | OTHER                    |
| ALTRIA GROUP INC              | COM            | 02209S103 | 90                 | 2686               | SH                   | DEFINED                  |
| ALTRIA GROUP INC              | COM            | 02209S103 | 116                | 3486               | SH                   | OTHER                    |
|                               |                |           | 0                  | 0                  |                      | OTHER                    |
| AMERICAN EXPRESS CO           | COM            | 25816109  | 338                | 5950               | SH                   | DEFINED                  |
| AMERICAN EXPRESS CO           | COM            | 25816109  | 40                 | 700                | SH                   | OTHER                    |
|                               |                |           | 0                  | 0                  |                      | OTHER                    |
| ANNALY CAPITAL MANAGEMENT INC | COM            | 35710409  | 199                | 11805              | SH                   | DEFINED                  |
| ANNALY CAPITAL MANAGEMENT INC | COM            | 35710409  | 17                 | 1000               | SH                   | OTHER                    |
| APPLIED MATERIALS INC         | COM            | 38222105  | 691                | 61900              | SH                   | DEFINED                  |
|                               |                |           | 0                  | 0                  |                      | DEFINED                  |

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|                               |     |           |      |       |    |         |    |
|-------------------------------|-----|-----------|------|-------|----|---------|----|
| APPLIED MATERIALS INC         | COM | 38222105  | 28   | 2500  | SH | OTHER   | 70 |
| AUTOMATIC DATA PROCESSING INC | COM | 53015103  | 468  | 7973  | SH | DEFINED | 70 |
|                               |     |           | 0    | 0     |    | DEFINED | 70 |
| AUTOMATIC DATA PROCESSING INC | COM | 53015103  | 18   | 300   | SH | OTHER   | 70 |
| BHP BILLITON LTD              | FOR | 88606108  | 355  | 5175  | SH | DEFINED | 70 |
| BHP BILLITON LTD              | FOR | 88606108  | 14   | 200   | SH | OTHER   | 70 |
| BRISTOL-MYERS SQUIBB CO       | COM | 110122108 | 252  | 7466  | SH | DEFINED | 70 |
| BRISTOL-MYERS SQUIBB CO       | COM | 110122108 | 503  | 14906 | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| CATERPILLAR INC               | COM | 149123101 | 165  | 1913  | SH | DEFINED | 70 |
| CATERPILLAR INC               | COM | 149123101 | 71   | 830   | SH | OTHER   | 70 |
| CHEVRON CORP NEW              | COM | 166764100 | 2897 | 24855 | SH | DEFINED | 70 |
| CHEVRON CORP NEW              | COM | 166764100 | 534  | 4579  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| CISCO SYSTEMS INC             | COM | 17275R102 | 686  | 35941 | SH | DEFINED | 70 |
| CISCO SYSTEMS INC             | COM | 17275R102 | 41   | 2150  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| COCA COLA CO                  | COM | 191216100 | 3580 | 94394 | SH | DEFINED | 70 |
|                               |     |           | 0    | 0     |    | DEFINED | 70 |
| COCA COLA CO                  | COM | 191216100 | 842  | 22200 | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| COLGATE PALMOLIVE CO          | COM | 194162103 | 1753 | 16352 | SH | DEFINED | 70 |
| COLGATE PALMOLIVE CO          | COM | 194162103 | 357  | 3333  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| DISNEY WALT CO                | COM | 254687106 | 1801 | 34446 | SH | DEFINED | 70 |
|                               |     |           | 0    | 0     |    | DEFINED | 70 |
| DISNEY WALT CO                | COM | 254687106 | 434  | 8300  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| DOW CHEMICAL CO               | COM | 260543103 | 305  | 10524 | SH | DEFINED | 70 |
| DOW CHEMICAL CO               | COM | 260543103 | 98   | 3401  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| DU PONT E I DE NEMOURS & CO   | COM | 263534109 | 303  | 6025  | SH | DEFINED | 70 |
|                               |     |           | 0    | 0     |    | DEFINED | 70 |
| DU PONT E I DE NEMOURS & CO   | COM | 263534109 | 115  | 2286  | SH | OTHER   | 70 |
|                               |     |           | 0    | 0     |    | OTHER   | 70 |
| ECOLAB INC                    | COM | 278865100 | 507  | 7817  | SH | DEFINED | 70 |
| ECOLAB INC                    | COM | 278865100 | 32   | 500   | SH | OTHER   | 70 |

FORM 13F INFORMATION TABLE

| COLUMN 1            | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6             | COLUMN 7                 |
|---------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|
| NAME OF ISSUER      | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| EMERSON ELECTRIC CO | COM            | 291011104 | 220                | 4550               | SH                   | DEFINED                  |
| EMERSON ELECTRIC CO | COM            | 291011104 | 73                 | 1513               | SH                   | OTHER                    |
|                     |                |           | 0                  | 0                  |                      | OTHER                    |
| EXELON CORP         | COM            | 30161N101 | 541                | 15217              | SH                   | DEFINED                  |
| EXELON CORP         | COM            | 30161N101 | 106                | 2970               | SH                   | OTHER                    |
|                     |                |           | 0                  | 0                  |                      | OTHER                    |
| EXXON MOBIL CORP    | COM            | 30231G102 | 5779               | 63195              | SH                   | DEFINED                  |
|                     |                |           | 0                  | 0                  |                      | DEFINED                  |
| EXXON MOBIL CORP    | COM            | 30231G102 | 2782               | 30417              | SH                   | OTHER                    |
|                     |                |           | 0                  | 0                  |                      | OTHER                    |
| GENERAL ELECTRIC CO | COM            | 369604103 | 9366               | 412403             | SH                   | DEFINED                  |

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|                              |     |           |      |           |  |         |    |
|------------------------------|-----|-----------|------|-----------|--|---------|----|
|                              |     |           | 0    | 0         |  | DEFINED | 70 |
| GENERAL ELECTRIC CO          | COM | 369604103 | 5334 | 234855 SH |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| GENERAL MILLS INC            | COM | 370334104 | 1016 | 25490 SH  |  | DEFINED | 70 |
| GENERAL MILLS INC            | COM | 370334104 | 402  | 10100 SH  |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| GLAXOSMITHKLINE PLC          | FOR | 37733W105 | 268  | 5788 SH   |  | DEFINED | 70 |
| GLAXOSMITHKLINE PLC          | FOR | 37733W105 | 211  | 4566 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| HOME DEPOT INC               | COM | 437076102 | 352  | 5825 SH   |  | DEFINED | 70 |
| HOME DEPOT INC               | COM | 437076102 | 76   | 1264 SH   |  | OTHER   | 70 |
| INTEL CORP                   | COM | 458140100 | 1657 | 73132 SH  |  | DEFINED | 70 |
| INTEL CORP                   | COM | 458140100 | 224  | 9868 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| IBM CORP                     | COM | 459200101 | 2364 | 11394 SH  |  | DEFINED | 70 |
| IBM CORP                     | COM | 459200101 | 681  | 3283 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| ISHARES                      | MUT | 464287465 | 321  | 6051 SH   |  | DEFINED | 70 |
| ISHARES                      | MUT | 464287465 | 9    | 175 SH    |  | OTHER   | 70 |
| JPMORGAN CHASE & CO          | COM | 46625H100 | 1169 | 28879 SH  |  | DEFINED | 70 |
|                              |     |           | 0    | 0         |  | DEFINED | 70 |
| JPMORGAN CHASE & CO          | COM | 46625H100 | 294  | 7252 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 226  | 5599 SH   |  | DEFINED | 70 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 8    | 200 SH    |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| JOHNSON & JOHNSON            | COM | 478160104 | 3505 | 50860 SH  |  | DEFINED | 70 |
| JOHNSON & JOHNSON            | COM | 478160104 | 765  | 11107 SH  |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 363  | 13250 SH  |  | DEFINED | 70 |
| JOHNSON CONTROLS INC         | COM | 478366107 | 55   | 2000 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| KRAFT FOODS INC              | COM | 50075N104 | 155  | 3738 SH   |  | DEFINED | 70 |
| KRAFT FOODS INC              | COM | 50075N104 | 137  | 3302 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| LOWES COMPANIES INC          | COM | 548661107 | 382  | 12634 SH  |  | DEFINED | 70 |
| LOWES COMPANIES INC          | COM | 548661107 | 11   | 350 SH    |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| MASCO CORP                   | COM | 574599106 | 182  | 12100 SH  |  | DEFINED | 70 |
| MASCO CORP                   | COM | 574599106 | 26   | 1700 SH   |  | OTHER   | 70 |
| MCDONALDS CORP               | COM | 580135101 | 248  | 2700 SH   |  | DEFINED | 70 |
| MCDONALDS CORP               | COM | 580135101 | 266  | 2895 SH   |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| MCGRAW HILL COS INC          | COM | 580645109 | 667  | 12217 SH  |  | DEFINED | 70 |
| MCGRAW HILL COS INC          | COM | 580645109 | 16   | 300 SH    |  | OTHER   | 70 |
|                              |     |           | 0    | 0         |  | OTHER   | 70 |
| MEDTRONIC INC                | COM | 585055106 | 1627 | 37726 SH  |  | DEFINED | 70 |
| MEDTRONIC INC                | COM | 585055106 | 48   | 1104 SH   |  | OTHER   | 70 |

FORM 13F INFORMATION TABLE

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4           | COLUMN 5           | COLUMN 6             | CO                          |
|----------------|----------------|----------|--------------------|--------------------|----------------------|-----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION MA |

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|                                 |     |           |      |         |    |         |    |
|---------------------------------|-----|-----------|------|---------|----|---------|----|
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| MERCK & CO INC                  | COM | 58933Y105 | 259  | 5740    | SH | DEFINED | 70 |
| MERCK & CO INC                  | COM | 58933Y105 | 170  | 3761    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| MICROSOFT CORP                  | COM | 594918104 | 2864 | 96231   | SH | DEFINED | 70 |
| MICROSOFT CORP                  | COM | 594918104 | 186  | 6263    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| NBT BANCORP INC                 | COM | 628778102 | 518  | 23486   | SH | OTHER   | 70 |
| NEXTERA ENERGY INC              | COM | 65339F101 | 202  | 2875    | SH | DEFINED | 70 |
| NIKE INC                        | COM | 654106103 | 868  | 9149    | SH | DEFINED | 70 |
| NIKE INC                        | COM | 654106103 | 85   | 900     | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| NORFOLK SOUTHERN CORP           | COM | 655844108 | 127  | 2000    | SH | DEFINED | 70 |
| NORFOLK SOUTHERN CORP           | COM | 655844108 | 115  | 1800    | SH | OTHER   | 70 |
| ORACLE CORP                     | COM | 68389X105 | 560  | 17800   | SH | DEFINED | 70 |
| ORACLE CORP                     | COM | 68389X105 | 42   | 1347    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| PEPSICO INC                     | COM | 713448108 | 2505 | 35392   | SH | DEFINED | 70 |
| PEPSICO INC                     | COM | 713448108 | 272  | 3850    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| PFIZER INC                      | COM | 717081103 | 605  | 24333   | SH | DEFINED | 70 |
| PFIZER INC                      | COM | 717081103 | 266  | 10688   | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| PHILIP MORRIS INTERNATIONAL INC | COM | 718172109 | 233  | 2586    | SH | DEFINED | 70 |
| PHILIP MORRIS INTERNATIONAL INC | COM | 718172109 | 348  | 3869    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| PROCTER & GAMBLE CO             | COM | 742718109 | 3316 | 47815   | SH | DEFINED | 70 |
| PROCTER & GAMBLE CO             | COM | 742718109 | 744  | 10727   | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| SCHLUMBERGER LTD                | FOR | 806857108 | 358  | 4949    | SH | DEFINED | 70 |
| SCHLUMBERGER LTD                | FOR | 806857108 | 22   | 300     | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| SOUTHERN CO                     | COM | 842587107 | 1442 | 31295   | SH | DEFINED | 70 |
| SOUTHERN CO                     | COM | 842587107 | 212  | 4608    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| STATE STREET CORP               | COM | 857477103 | 452  | 10775   | SH | DEFINED | 70 |
| STATE STREET CORP               | COM | 857477103 | 59   | 1400    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| TARGET CORP                     | COM | 8.76E+110 | 582  | 9162    | SH | DEFINED | 70 |
| TARGET CORP                     | COM | 8.76E+110 | 86   | 1350    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| TEXAS INSTRUMENTS INC           | COM | 882508104 | 286  | 10375   | SH | DEFINED | 70 |
| 3M CO                           | COM | 88579Y101 | 1308 | 14150   | SH | DEFINED | 70 |
| 3M CO                           | COM | 88579Y101 | 411  | 4450    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| TRUSTCO BANK CORP NY            | COM | 898349105 | 1191 | 208606  | SH | DEFINED | 70 |
|                                 |     |           | 0    | 0       |    | DEFINED | 70 |
|                                 |     |           | 0    | 0       |    | DEFINED | 70 |
| TRUSTCO BANK CORP NY            | COM | 898349105 | 5846 | 1023895 | SH | OTHER   | 70 |
| UNITED PARCEL SERVICE           | COM | 911312106 | 493  | 6890    | SH | DEFINED | 70 |
| UNITED PARCEL SERVICE           | COM | 911312106 | 68   | 950     | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 2511 | 32072   | SH | DEFINED | 70 |
| UNITED TECHNOLOGIES CORP        | COM | 913017109 | 215  | 2750    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
| VANGUARD                        | MUT | 922042858 | 1730 | 41455   | SH | DEFINED | 70 |
|                                 |     |           | 0    | 0       |    | DEFINED | 70 |
| VANGUARD                        | MUT | 922042858 | 76   | 1820    | SH | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |
|                                 |     |           | 0    | 0       |    | OTHER   | 70 |

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FORM 13F INFORMATION TABLE

| COLUMN 1                   | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                      | COLUMN 6                 | COLUMN 7 |
|----------------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|----------|
| NAME OF ISSUER             | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION | OTHER    |
| VERIZON COMMUNICATIONS INC | COM            | 92343V104 | 1460               | 32036              | SH                   | DEFINED                  | 70       |
| VERIZON COMMUNICATIONS INC | COM            | 92343V104 | 371                | 8146               | SH                   | OTHER                    | 70       |
|                            |                |           | 0                  | 0                  |                      | OTHER                    | 70       |
| WAL-MART STORES INC        | COM            | 931142103 | 1806               | 24466              | SH                   | DEFINED                  | 70       |
| WAL-MART STORES INC        | COM            | 931142103 | 161                | 2175               | SH                   | OTHER                    | 70       |
|                            |                |           | 0                  | 0                  |                      | OTHER                    | 70       |
| WELLS FARGO & CO NEW       | COM            | 949746101 | 1328               | 38450              | SH                   | DEFINED                  | 70       |
| WELLS FARGO & CO NEW       | COM            | 949746101 | 201                | 5823               | SH                   | OTHER                    | 70       |
|                            |                |           | 0                  | 0                  |                      | OTHER                    | 70       |