

Edgar Filing: DREHER FREDERICK W - Form 3

DREHER FREDERICK W
Form 3
March 06, 2003

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Dreher Frederick W.

(Last) (First) (Middle)

4200 One Liberty Place

(Street)

Philadelphia PA 19103-7396

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

2/28/03

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Issuer Name and Ticker or Trading Symbol

Penn Engineering & Manufacturing Corp. (PNN and PNN.A)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

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7. Individual or Joint/Group Filing (Check Applicable line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

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TABLE I -- NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED

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1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature (Instr.)
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None (1)

FORM 3 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES BENEFICIALLY OWNED
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

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	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conve sion Exerc
	-----	-----	Amount or	

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1. Title of Derivative Security (Instr. 4)	Date Exercisable	Expiration Date	Title	Number of Shares	Price of Derivative Security

None					

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Explanation of Responses:

- (1) Reporting person has become the beneficial owner of more than 10% of the Company's Common Stock and Class A Common Stock as a result of his appointment as co-trustee of several trusts. The reporting person does not have a pecuniary interest in any such shares.

/s/ Frederick W. Dreher March 3, 2003

 **Signature of Reporting Person Date
 Frederick W. Dreher

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.