TIPPIE HENRY B Form 4 August 29, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \*

TIPPIE HENRY B

(First) (Middle)

P. O. BOX 26557

(Last)

(City)

(Street)

**AUSTIN, TX 78755** 

2. Issuer Name and Ticker or Trading

Symbol

**DOVER DOWNS GAMING &** ENTERTAINMENT INC [DDE]

3. Date of Earliest Transaction (Month/Day/Year)

08/25/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(A)

or

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Owned

Beneficially Following Reported Transaction(s) (Instr. 3 and 4)

Indirect (I) (Instr. 4)

Ι

(D) or

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

Amount (D) Price

Stock, \$.10 08/25/2017 P 10,000 A 1,029,596 D

Code V

Common

par value

Common

Stock, \$.10 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

200,000

SEC 1474 (9-02)

Spouse (1)

#### Edgar Filing: TIPPIE HENRY B - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title and Amour Underlying Securit (Instr. 3 and 4)		8 I S (
				Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class A Common Stock (2)	\$ 0 (2)					(2)	<u>(2)</u>	Common Stock	3,200,000	
Class A Common Stock (2)	\$ 0 (2)					(2)	(2)	Common Stock	150,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TIPPIE HENRY B							
P. O. BOX 26557	X	X					
AUSTIN TX 78755							

### **Signatures**

Klaus M. Belohoubek Via Power of Attorney Filed With the Securities and Exchange Commission

08/29/2017

8. Prio Deriv Secur (Instr.

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims any beneficial interest in these securities.
- (2) Class A Common Stock is convertible into Common Stock at any time on a share for share basis at the option of the holder thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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