#### Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4

#### DOVER DOWNS GAMING & ENTERTAINMENT INC

Form 4

August 23, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock,

\$.10 par value

08/19/2016

(Print or Type Responses)

1. Name and Address of Reporting Person * TIPPIE HENRY B			2. Issuer Name <b>and</b> Ticker or Trading Symbol				I	5. Relationship of Reporting Person(s) to Issuer			
			DOVER DOWNS GAMING & ENTERTAINMENT INC [DDE]					(Check all applicable)			
(Last)	, , ,	Middle)	3. Date of Earliest Transaction (Month/Day/Year)			_	X DirectorX 10% Owner Officer (give title below) Other (specify below)				
P. O. BOX 26557			08/19/2016								
(Street)				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)			ar)			Applicable Line) _X_ Form filed by One Reporting Person				
AUSTIN,					P	Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative S	Securi	ties Acqui	red, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5) ear) (Instr. 8)  (A) or				5. Amount of 6. 7. Nature Securities Ownership Indirect Beneficially Form: Benefic Owned Direct (D) Ownership Owned Or Indirect (Instr. 4 (Instr. 4) (Instr. 3 and 4)			
C				Code V	Amount	(D)	Price	(msu. 3 and 4)			
Common Stock, \$.10 par value	08/23/2016			P	49,600	A	\$ 0.9738	800,000	D		
Common Stock, \$.10 par value	08/22/2016			P	30,296	A	\$ 0.979	750,400	D		
Common											

P

149,988 A

\$ 0.98

720,104

D

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Common Stock,

\$.10 par

value

200,000 I

Spouse (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionNumber Expiration Date Code of (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class A Common Stock (2)	<u>(2)</u>				(2)	(2)	Common Stock	3,050,000	
Class A Common Stock (2)	(2)				(2)	(2)	Common Stock	150,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TIPPIE HENRY B							
P. O. BOX 26557	X	X					
AUSTIN, TX 78755							

# **Signatures**

Klaus M. Belohoubek Via Power of Attorney Filed With the Securities and Exchange Commission

08/23/2016

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims any beneficial interest in these securities.
- (2) Class A Common Stock is convertible into Common Stock at any time on a share for share basis at the option of the holder thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.