Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4/A

DOVER DOWNS GAMING & ENTERTAINMENT INC

Form 4/A August 31, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Washington, D.C. 20549

Expires: January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

m.4 or

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad TIPPIE HEN	^	orting Person *	Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		DOVER DOWNS GAMING & ENTERTAINMENT INC [DDE]		(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director _X_ 10% Owner Officer (give title Other (specify below)		
P. O. BOX 2	. BOX 26557		08/27/2015	below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 08/26/2015	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
AUSTIN, TX	X 78755		08/20/2013	Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owne		

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported Transaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
_			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock, \$.10 par value	08/24/2015		P(3)	6,250 (3)	A	(3)	447,950	D	
Common Stock, \$.10 par value	08/27/2015		P	2,443	A	\$ 0.99	450,393	D	
Common Stock, \$.10 par value							200,000	I	Spouse (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4/A

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derive Security (Instr.
					(Instr. 3, 4, and 5)				Amount or	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Number of Shares	
Class A Common Stock (2)	<u>(2)</u>					(2)	<u>(2)</u>	Common Stock	3,050,000	
Class A Common Stock (2)	<u>(2)</u>					(2)	(2)	Common Stock	150,000	

Reporting Owners

Reporting Owner Name / Address	Kelationships						
1 6	Director	10% Owner	Officer	Other			
TIPPIE HENRY B							
P. O. BOX 26557	X	X					
AUSTIN, TX 78755							

Signatures

Klaus M. Belohoubek Via Power of Attorney Filed With the Securities and Exchange Commission

08/31/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims any beneficial interest in these securities.
- (2) Class A Common Stock is convertible into Common Stock at any time on a share for share basis at the option of the holder thereof.
- (3) Number of shares was incorrectly reported by 3,750 shares (10,000 shares was reported and is corrected to 6,250 shares).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4/A

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.