## Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4

DOVER DOWNS GAMING & ENTERTAINMENT INC Form 4 August 12, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **TIPPIE HENRY B** Issuer Symbol **DOVER DOWNS GAMING &** (Check all applicable) ENTERTAINMENT INC [DDE] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) P. O. BOX 26557 08/10/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting AUSTIN, TX 78755 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, 08/10/2015 Ρ 3.202 А 337,900 D 0 9789 \$.10 par value Common Stock, 08/11/2015 Ρ 58,200 D Α 396,100 0 9797 \$.10 par value Common Stock, 08/12/2015 Ρ 25.000 A \$ 0.98 421.100 D \$.10 par value

Stock, \$.10 par value						200,000	I		Spouse (1)	
Reminder: R	eport on a sep	arate line for each clas	ss of securities benefi	cially owne	ed directly	or indirectly.				
				informa require	ation cont d to resp s a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are rm		SEC 1474 (9-02)	
			tive Securities Acquuts, calls, warrants,	· •	,	v	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	5. oriNumber of Derivativ Securities Acquired (A) or Disposed of (D)	5		Under	e and Amount of lying Securities 3 and 4)	8. Prie Deriv Secur (Instr.

		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock (2)	(2)					(2)	(2)	Common Stock	3,050,000
Class A Common Stock (2)	(2)					(2)	(2)	Common Stock	150,000

(Instr. 3, 4, and 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TIPPIE HENRY B P. O. BOX 26557	х	Х		
AUSTIN, TX 78755	71	74		

## Signatures

Klaus M. Belohoubek Via Power of Attorney Filed With the Securities and Exchange					
Commission	08/12/2015				

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims any beneficial interest in these securities.
- (2) Class A Common Stock is convertible into Common Stock at any time on a share for share basis at the option of the holder thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.