Edgar Filing: DOVER DOWNS GAMING & ENTERTAINMENT INC - Form 4

DOVER DOWNS GAMING & ENTERTAINMENT INC Form 4 February 09, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **TIPPIE HENRY B** Issuer Symbol **DOVER DOWNS GAMING &** (Check all applicable) ENTERTAINMENT INC [DDE] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director X__ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) P. O. BOX 26557 02/05/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting AUSTIN, TX 78755 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, 02/05/2015 Ρ 50,000 \$ 0.996 179,219 А D \$.10 par value Common Stock, Ρ 70,000 A D 02/06/2015 249,219 0.9933 \$.10 par value Common Stock, 02/09/2015 Ρ 31.781 A \$ 1.028 281.000 D \$.10 par value

Stock, \$.10 par value					200,000 I	Spouse (1)				
Reminder: R	leport on a sep	arate line for each clas	ss of securities benefi	icially owned directly	or indirectly.					
				information con required to resp	spond to the collection Itained in this form are bond unless the form ently valid OMB control	not (9-02)				
			-	uired, Disposed of, or options, convertible	r Beneficially Owned securities)		8. Prie			
1. Title of	2. Conversion	3. Transaction Date		4. 5. TransactionNumber	6. Date Exercisable and	7. Title and Amount of				

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Transactio Code (Instr. 8)	of Derivative Securities Acquired	S	Date	Underlying S (Instr. 3 and		Deriva Secur (Instr.
				(A) or Disposed of (D) (Instr. 3, 4, and 5)					
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class A Common Stock (2)	<u>(2)</u>				(2)	(2)	Common Stock	3,000,000	
Class A Common Stock (2)	(2)				(2)	(2)	Common Stock	150,000	

Reporting Owners

Reporting Owner Name / Address		Relationsh	nips	
	Director	10% Owner	Officer	Other
TIPPIE HENRY B P. O. BOX 26557 AUSTIN, TX 78755	Х	Х		

Signatures

Elia D. Trowbridge Via Power of Attorney Filed With the Securities and Exchange Commission

02/09/2015 Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims any beneficial interest in these securities.
- (2) Class A Common Stock is convertible into Common Stock at any time on a share for share basis at the option of the holder thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.