Edgar Filing: AMERISOURCEBERGEN CORP - Form 4

AMERISOURCEBERGEN CORP

Form 4

September 29, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

2. Issuer Name and Ticker or Trading HENNEY JANE E MD Issuer Symbol AMERISOURCEBERGEN CORP (Check all applicable) [ABC] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year)

02/03/2014

1300 MORRIS DRIVE

1. Name and Address of Reporting Person *

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

CHESTERBROOK, PA 19087

(Street)

(State)

(Zip)

(City)	(State) (E	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed (of, or Beneficial	ly Owned
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership	7. Nature of
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if	Transactio Code	onAcquired (A) or		Securities Beneficially	Form: Direct (D) or	Indirect Beneficial	
(IIIsti. 3)		any (Month/Day/Year)	(Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		(· · · · · · · · · · · · · · · · · · ·	(,	(1115117 5, 1 1110 5)		Following	(Instr. 4)	(Instr. 4)	
				(A)		Reported			
					or		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	02/03/2014		M	517	A	<u>(1)</u>	10,658	D	
Stock									
Common	05/02/2014		M	455	Α	(1)	3,432	D	
Stock	00,02,201.					_	2,.02		
Common Stock	08/01/2014		M	497	A	<u>(1)</u>	3,929	D	
~~~									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	02/03/2014		M	517	02/01/2014	02/01/2014	Common Stock	517
Restricted Stock Units	(2)	05/02/2014		M	455	05/02/2014	05/02/2014	Common Stock	455
Restricted Stock Units	<u>(2)</u>	08/01/2014		M	497	08/01/2014	08/01/2014	Common Stock	497

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HENNEY JANE E MD							

HENNEY JANE E MD 1300 MORRIS DRIVE X CHESTERBROOK, PA 19087

### **Signatures**

John G. Chou for Jane E. Henney, M.D. 09/29/2014

**Signature of Reporting Person Date

# **Explanation of Responses:**

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis
- (2) Each restricted stock unit represents a right to receive one share of AmerisourceBergen common stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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