Edgar Filing: Gomez Alfredo - Form 4

Gomez Alfre Form 4	edo										
May 31, 201											
FORM	14_{UNITEDS}	TATES	SECUR	ITIFS A	ND FY(THAT	NGF (COMMISSION	r	PPROVAL	
		TAILS		hington,				201011011051010	OMB Number:	3235-0287	
Check thi if no long	er			and NI		Expires:	January 31, 2005				
subject to Section 10 Form 4 or		SECUR	ITIES			NERSHIP OF	Estimated a burden hou response	average rs per			
Form 5 obligatior may conti <i>See</i> Instru 1(b).	ns Section 17(a) of the l	Public Ut		ing Com	ipany	Act of	e Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Gomez Alfredo			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)			3. Date of Earliest Transaction					(Check all applicable)			
10420 RESEARCH ROAD SE			(Month/Day/Year) 05/27/2011					Director 10% Owner Officer (give title Other (specify below) Gen. Counsel and Corp. Sec.			
			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting 			
-								Person			
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		3. Transactio Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	4 and (A) or	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Emcore Common Stock	05/27/2011			Code V A	$213 \frac{(3)}{2}$		Price \$ 2.35	101,639 <u>(1)</u>	D		
Emcore Common Stock								4,721 <u>(2)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I B	Director	10% Owner	Officer	Other			
Gomez Alfredo 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123			Gen. Counsel and Corp. Sec.				
Signatures							

/s/ Alfredo 05/31/2011 Gomez

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 100,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, 50,000 vesting in three equal installments beginning on January 28, 2012 and 50,000 vesting in three equal installments beginning on May 3, 2012.
- (2) Shares attributable to EMCORE Corporation 401(k) account as of March 31, 2011.
- (3) Shares were purchased under the Company's Director and Officer Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.