Edgar Filing: Gupta Ajay - Form 4

Gupta Ajay											
Form 4											
April 30, 201	.9										
FORM	4									PPROVAL	
	UNITE	D STATES		ITIES A hington,			IGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long	or								Expires:	January 31,	
subject to	NIAIH	EMENT O	F CHAN	NGES IN BENEFICIAL OW				NERSHIP OF		ated average	
Section 1	6.			SECURITIES					burden hours per		
Form 4 or Form 5			с: 1 <i>.</i>		o	Г	1	A (61024	response 0.5		
obligation	• · · · ·						-	e Act of 1934,			
may conti	inue. Section 1		of the Inv	•	. .			f 1935 or Section	n		
<i>See</i> Instru 1(b).	iction	50(II)		vestment	Company	Act	01 19-	+0			
(Print or Type R	Responses)										
Gupta Ajay Symt ROC			2. Issuer Symbol	ROCKWELL MEDICAL, INC.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			ROCKV [RMTI]								
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			Director		Owner	
				Ionth/Day/Year)				_X_ Officer (give title Other (specify below) below)			
2505 SEASCAPE DRIVE 04/			04/25/20	4/25/2019				Sr. VP & Chief Scientific Off.			
				f Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				/onth/Day/Year)				Applicable Line)			
LAS VEGA	S, NV 89128							_X_ Form filed by C Form filed by M Person	One Reporting Pe Iore than One Re		
(City)	(Stata)	(Tip)									
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any		emed on Date, if /Day/Year)	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
						(A)		Following Reported Transaction(s)	(Instr. 4)	(Instr. 4)	
				Code V	Amount	or	Drigg	(Instr. 3 and 4)			
Common Stock	04/25/2019			A(1)	Amount 17,000 (1)	(D) A	Price \$ 0	607,949	D		
					_						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5 ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 4.81	04/25/2019		А	28,000	(2)	04/25/2029	Common Stock	28,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gupta Ajay 2505 SEASCAPE DRIVE LAS VEGAS, NV 89128			Sr. VP & Chief Scientific Off.			
Signatures						
/s/ Michael G. Costello, attorne Gupta	y-in-fact	for Ajay	04/30/2019			

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Restricted stock units vest in three equal installments on the first, second and third anniversaries of April 25, 2019, subject to the Reporting Person's continued employment with the Issuer.
- (2) Stock options vest in three equal installments on the first, second and third anniversaries of April 25, 2019, subject to the Reporting Person's continued employment with the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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