Brown Stuart B Form 4 February 22, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**Brown Stuart B** 

(Last)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

IRON MOUNTAIN INC [IRM]

3. Date of Earliest Transaction

(Month/Day/Year) 02/20/2019

C/O IRON MOUNTAIN INCORPORATED. ONE FEDERAL **STREET** 

(First)

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP & CFO

10% Owner

Other (specify

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

BOSTON, MA 02110

(City)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of

Conversion

Derivative

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactiorDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

(9-02)

#### Edgar Filing: Brown Stuart B - Form 4

| Security (Instr. 3)                              | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securit<br>Acquire<br>or Disp<br>(D)<br>(Instr. 3<br>and 5) | ed (A)<br>osed of | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     |
|--|---|------------|-------------------------|-----------------|---|-------------------|---------------------|--------------------|------------------|-------------------------------------|
|  |   |            |                         | Code V          | (A)   | (D)               | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 35.72  | 02/20/2019 |                         | A               | 71,15   | 1                 | <u>(1)</u>          | 02/20/2029         | Common<br>Stock  | 71,151                              |
| Restricted<br>Stock<br>Units                     | <u>(2)</u>  | 02/20/2019 |                         | A               | 14,27   | 7                 | (3)                 | (3)                | Common<br>Stock  | 14,277                              |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

Brown Stuart B C/O IRON MOUNTAIN INCORPORATED ONE FEDERAL STREET BOSTON, MA 02110

**EVP & CFO** 

#### **Signatures**

/s/ Elizabeth Tammaro, under Power of Attorney dated August 10, 2016, from Stuart B. Brown

02/22/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option becomes exercisable in three substantially equal annual installments beginning on the first anniversary of the grant date.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Iron Mountain Incorporated common stock.
- (3) The RSUs vest in three substantially equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2