

Conway Robert G  
Form 3  
October 12, 2017

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Conway Robert G

(Last) (First) (Middle)

101 POOR FARM ROAD

(Street)

PRINCETON,Â NJÂ 08540

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

10/03/2017

3. Issuer Name **and** Ticker or Trading Symbol  
AGILE THERAPEUTICS INC [AGRX]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP Ent Planning & Info Mgt

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

Date Expiration  
Exercisable Date

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title Amount or  
Number of  
Shares

4. Conversion  
or Exercise  
Price of  
Derivative  
Security

5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

## Edgar Filing: Conway Robert G - Form 3

Employee Stock Option (Right to Buy)	01/12/2009	01/11/2019	Common Stock	45	\$ 285.71 (1)	D	Â
Employee Stock Option (Right to Buy)	12/09/2010	12/08/2020	Common Stock	4,149	\$ 1.76 (1)	D	Â
Employee Stock Option (Right to Buy)	12/06/2012	12/05/2022	Common Stock	46,485	\$ 4.38 (1)	D	Â
Employee Stock Option (Right to Buy)	02/19/2015	02/18/2025	Common Stock	30,000	\$ 9.45 (2)	D	Â
Employee Stock Option (Right to Buy)	02/08/2016	02/07/2026	Common Stock	47,500	\$ 5.93 (3)	D	Â
Employee Stock Option (Right to Buy)	01/25/2017	01/24/2027	Common Stock	38,000	\$ 2.26 (4)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Conway Robert G 101 POOR FARM ROAD PRINCETON,Â NJÂ 08540	Â	Â	Â SVP Ent Planning & Info Mgt	Â

## Signatures

/s/ Scott Coiante,  
Attorney-in-Fact

10/12/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option is fully vested.

This option was granted on February 19, 2015. 25% of the shares subject to the stock option vested on February 19, 2016, and the

(2) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 19, 2019.

(3) This option was granted on February 8, 2016. 25% of the shares subject to the stock option vested on February 8, 2017, and the remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 8, 2020.

This option was granted on January 25, 2017. 25% of the shares subject to the stock option will vest on January 25, 2018, and the

(4) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on January 25, 2021.

Â

### Remarks:

ExhibitÂ ListÂ ExhibitÂ 24.1Â -Â PowerÂ ofÂ Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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