### Edgar Filing: AXIALL CORP/DE/ - Form 3

AXIALL CORP/DE/ Form 3 July 27, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AXIALL CORP/DE/ [AXLL] DOHERTY WILLIAM H (Month/Day/Year) 07/23/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 ABERNATHY ROAD, (Check all applicable) **SUITE 1200** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Sr. Vice President Chemicals Person ATLANTA, GAÂ 30328 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â **AXLL Common Stock** 7,802 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 5. 6. Nature of Indirect 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	02/28/2016	AXLL Common Stock	800 (1)	\$ 722.75	D	Â
Employee Stock Option (right to buy)	(2)	02/27/2017	AXLL Common Stock	1,423 (2)	\$ 510.75	D	Â
Employee Stock Option (right to buy)	(3)	02/26/2018	AXLL Common Stock	1,000 (3)	\$ 168	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Other	
DOHERTY WILLIAM H 1000 ABERNATHY ROAD, SUITE 1200 ATLANTA. GA 30328	Â	Â	Sr. Vice President Chemicals	Â	

# **Signatures**

/s/ William H.
Doherty

\*\*Signature of Reporting Person

O7/27/2015

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These stock options became exercisable in three equal annual installments. The first installment became exercisable on February 28, 2007 and the next two installments became exercisable on February 28, 2008 and February 28, 2009.
- (2) These stock options became exercisable in three equal annual installments. The first installment became exercisable on February 27, 2008 and the next two installments became exercisable on February 27, 2009 and February 27, 2010.
- (3) These stock options became exercisable in three equal annual installments. The first installment became exercisable on February 26, 2009 and the next two installments became exercisable on February 26, 2010 and February 26, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2