Jaggi Sandeep Form 3 November 17, 2011

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Jaggi Sandeep

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/17/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

INTERMOLECULAR INC [IMI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

GC, SVP of IP

5. If Amendment, Date Original

Filed(Month/Day/Year)

INTERMOLECULAR. INC., Â 3011 NORTH FIRST STREET

(Street)

Director \_X\_\_ Officer

10% Owner \_ Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN JOSE, CAÂ 95134-2004

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Form: 4. Nature of Indirect Beneficial

Ownership Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

Date

3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Conversion Ownership Form of or Exercise Derivative Price of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration

Exercisable Date Title

Amount or Number of

Security: Derivative Security Direct (D)

#### Edgar Filing: Jaggi Sandeep - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	08/18/2020	Common Stock	250,000	\$ 2.66	D	Â
Stock Option (right to buy)	(2)	03/30/2021	Common Stock	25,000	\$ 6.2	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Jaggi Sandeep INTERMOLECULAR, INC. 3011 NORTH FIRST STREET SAN JOSE, CA 95134-2004	Â	Â	GC, SVP of IP	Â		

# **Signatures**

/s/ Sandeep
Jaggi

\*\*Signature of Reporting Person

A 11/17/2011

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares vest pursuant to the following schedule: Twenty-Five Percent (25%) of the shares subject to the option vest on the first anniversary measured from July 12, 2010 (the "Vesting Commencement Date") and the remaining shares subject to the option vest in 36 successive, equal monthly installments thereafter on each monthly anniversary of the Vesting Commencement Date, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.
- The shares vest pursuant to the following schedule: Twenty-Five Percent (25%) of the shares subject to the option vest on the first
  anniversary measured from February 2, 2011 (the "Vesting Commencement Date") and the remaining shares subject to the option vest in
  36 successive, equal monthly installments thereafter on each monthly anniversary of the Vesting Commencement Date, subject to the
  Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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