Edgar Filing: HOREJSI STEWART R - Form 4

HOREJSI ST	EWART R										
Form 4											
November 10), 2009										
FORM	$ 4 _{\text{UNITED}}$	статрс	SECUE	TTIES A	ND FY(11 A R		OMMISSION		PPROVAL	
Check thi		STATES		hington,			VGE C	.011111551014	OMB Number:	3235-0287	
if no long	er			CEC DU					Expires:	January 31, 2005	
subject to Section 1 Form 4 or	F CHAN	GES IN I SECUR	NERSHIP OF	Estimated a burden hou response	average						
Form 5 obligatior may conti <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section 17(a	a) of the	Public Ut		ling Com	pany	Act of	e Act of 1934, 71935 or Section 0	·		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> ERNEST HOREJSI TRUST NO 1B			2. Issuer Name and Ticker or Trading Symbol BOULDER GROWTH & INCOME					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		e: 1 11 \	FUND [D :	¥ 100		
(Last) 1029 WEST 400	(First) (N 3RD AVENUE,	Aiddle)	3. Date of (Month/D 11/06/20	-	ansaction			Director Officer (give below)	titleOthe		
	(Street)			ndment, Da th/Day/Year)	-			6. Individual or Jo Applicable Line)	One Reporting Per	rson	
ANCHORA	GE, AK 99503							_X_ Form filed by M Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if Transa any Code			Transactio	4. Securit on(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	11/06/2009			Р	15,000	А	\$ 5.48	5,211,297	D (1)		
Common Stock	11/06/2009			Р	5,000	А	\$ 5.46	5,216,297	D <u>(1)</u>		
Common Stock	11/09/2009			Р	6,000	A	\$ 5.5	5,222,297	D (1)		
Common Stock	11/09/2009			Р	3,000	А	\$ 5.53	5,225,297	D (1)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: HOREJSI STEWART R - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerce Expiration Date		7. Title a Amount		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	((Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/ e		Underlyi Securitie (Instr. 3 a	ing s	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships	
Reporting Owner Funct Fruites	Director 10% Owner Officer Other	
ERNEST HOREJSI TRUST NO 1B 1029 WEST 3RD AVENUE, SUITI ANCHORAGE, AK 99503		
HOREJSI STEWART R 200 SOUTH SANTA FE SALINA, KS 67401	X	
CICIORA SUSAN L 2344 SPRUCE STREET, STE A BOULDER, CO 80302	Х	
Signatures		
Douglas J. Blattmachr, President, A No. 1B	laska Trust Company, trustee of the Ernest Horejsi Trust	11/10/2009
*	*Signature of Reporting Person	Date
Stewart R. Horejsi		11/10/2009
*	*Signature of Reporting Person	Date
Susan L. Ciciora		11/10/2009
*	*Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The trustees of the Ernest Horejsi Trust No. 1B (the "Ernest Trust") are Alaska Trust Company ("Alaska Trust"), Susan L. Ciciora and Larry Dunlap. Such trustees may be deemed to control the Ernest Trust and may be deemed to possess indirect beneficial ownership of the Shares held by the Ernest Trust. However, none of the trustees, acting alone, can vote or exercise dispositive authority over Shares held by the Ernest Trust. Accordingly, Alaska Trust Ma, Ciciora and Ma, Dunlap displaying hereficial ownership of the Shares head hu the Ernest Trust.

(1) held by the Ernest Trust. Accordingly, Alaska Trust, Ms. Ciciora and Mr. Dunlap disclaim beneficial ownership of the Shares beneficially owned, directly or indirectly, by the Ernest Trust. In addition to serving as a trustee, Ms. Ciciora is also a beneficiary of the Ernest Trust. As a result of his advisory role with the Ernest Trust, Stewart R. Horejsi may be deemed to have indirect beneficial ownership of the Shares directly beneficially owned by the Ernest Trust. However, Mr. Horejsi disclaims such beneficial ownership of the Shares directly beneficially held by the Ernest Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.