### Edgar Filing: SOUTHERN FIRST BANCSHARES INC - Form 4

### SOUTHERN FIRST BANCSHARES INC

Form 4

September 28, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number: January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Add CUBBAGE LI	*	_	2. Issuer Name and Ticker or Trading Symbol SOUTHERN FIRST BANCSHARES INC [SFST]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle) P.O. BOX 17465		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/23/2009	_X Director 10% Owner Officer (give title Other (specify below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
GREENVILLI	E, SC 29606			Form filed by More than One Reporting Person			

#### (City) (State) (Zip)

								,	,	•
1.Title of Security		2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securities Acquired on(A) or Disposed of		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
	(Instr. 3)	(Wionthi/Day/Tear)	any	Code	(D)			Beneficially	(D) or	Beneficial
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
								Following	(Instr. 4)	(Instr. 4)
					$(\Delta)$		Reported			
						or		Transaction(s) (Instr. 3 and 4)		
				Code V	Amount	(D)	Price	(msu. 3 and 1)		
	Common	09/23/2009		S	575	D	\$	112,770	D	
	Stock	05,120,2005		~		_	7.75	112,770		
	Common			~		_		===		
	Stock	09/24/2009		S	1,000	D	\$ 7.8	111,770	D	
	Common	09/24/2009		S	500	D	\$ 7.9	111,270	D	
	Stock						·	•		
	Common	00/25/2000		C	765	Б	¢ 7 0	110 505	D	
	Stock	09/25/2009		S	765	D	\$ 1.9	110,505	D	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: SOUTHERN FIRST BANCSHARES INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Montal Day) Teal)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underl Securit	lying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 0	Director	10% Owner	Officer	Other				
CUBBAGE LEIGHTON M								
P.O. BOX 17465	X							
GREENVILLE, SC 29606								

## **Signatures**

Leighton M. Cubbage, /s/James M. Austin, III, POA

09/28/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2