#### Edgar Filing: HUGHES WILLIAM F JR - Form 4

#### **HUGHES WILLIAM F JR**

Form 4 April 02, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HUGHES WILLIAM F JR	2. Issuer Name and Ticker or Trading Symbol NATURAL GAS SERVICES GROUP INC [ngs]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle) 42921 NORMANDY LANE	3. Date of Earliest Transaction (Month/Day/Year) 03/29/2019	_X_ Director 10% Owner Officer (give title below) Other (specify below)		
(Street)  LANCASTER, CA 93536	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/29/2019		A	578 (1)	A	\$ 17.29	38,548	D	
Common Stock							4,509	I	By Rabbi Trust
Common Stock							106,200	I	By the William & Cheryl Hughes Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day	Pate	7. Title and Underlying (Instr. 3 and	Securities	8. P. Derri Secti (Ins
				Code V	<sup>7</sup> (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	03/29/2019		A	5,206 (3)	(2)	(2)	Common Stock	5,206	

## **Reporting Owners**

eporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

HUGHES WILLIAM F JR 42921 NORMANDY LANE X LANCASTER, CA 93536

## **Signatures**

William F.

Hughes, Jr. 04/02/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The acquisition of the 578 shares of common stock reflects an award of restricted stock pursuant to the Company's 2009 Restricted

  Stock/Unit Plan, as amended, and Independent Director Compensation Policy. The award vests in installments of 144 shares beginning on March 31, 2020, with additional 144 (or 145) share installments vesting on June 30, September 30 and December 31, 2020, although the award is also subject to accelerated vesting upon the death or disability of the recipient, or upon a change in control of the Company.
- (2) Not Applicable. Each Restricted Stock Unit represents a right to receive one share of Company common stock upon vesting without payment.

**(3)** 

Reporting Owners 2

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The acquisition of the 5,206 Restricted Stock Units reflects an award pursuant to the Company's 2009 Restricted Stock/Unit Plan, as amended, and Independent Director Compensation Policy. The award vests in installments of 1,301 shares beginning on March 31, 2020, with additional 1,301 (or 1,302) share installments vesting on June 30, September 30 and December 31, 2020, although the award is also subject to accelerated vesting upon the death or disability of the recipient, or upon a change in control of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.