

EMCOR GROUP INC

Form 4

March 07, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Guzzi Anthony

(Last) (First) (Middle)

301 MERRITT SEVEN

(Street)

NORWALK, CT 06851

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EMCOR GROUP INC [EME]

3. Date of Earliest Transaction
(Month/Day/Year)
03/05/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/05/2014		M	38,235 A	\$ 11.27	584,485	D
Common Stock	03/05/2014		S	1,700 D	\$ 45.85	582,785	D
Common Stock	03/05/2014		S	1,900 D	\$ 45.86	580,885	D
Common Stock	03/05/2014		S	300 D	\$ 45.87	580,585	D
Common Stock	03/05/2014		S	615 D	\$ 45.88	579,970	D

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Common Stock	03/05/2014	S	1,043	D	\$ 45.89	578,927	D
Common Stock	03/05/2014	S	625	D	\$ 45.9	578,302	D
Common Stock	03/05/2014	S	700	D	\$ 45.91	577,602	D
Common Stock	03/05/2014	S	2,900	D	\$ 45.92	574,702	D
Common Stock	03/05/2014	S	900	D	\$ 45.93	573,802	D
Common Stock	03/05/2014	S	600	D	\$ 45.94	573,202	D
Common Stock	03/05/2014	S	200	D	\$ 45.95	573,002	D
Common Stock	03/05/2014	S	100	D	\$ 45.96	572,902	D
Common Stock	03/05/2014	S	7,319	D	\$ 46	565,583	D
Common Stock	03/05/2014	S	4,100	D	\$ 46.01	561,483	D
Common Stock	03/05/2014	S	3,734	D	\$ 46.02	557,749	D
Common Stock	03/05/2014	S	500	D	\$ 46.03	557,249	D
Common Stock	03/05/2014	S	866	D	\$ 46.04	556,383	D
Common Stock	03/05/2014	S	1,400	D	\$ 46.05	554,983	D
Common Stock	03/05/2014	S	2,100	D	\$ 46.06	552,883	D
Common Stock	03/05/2014	S	1,000	D	\$ 46.07	551,883	D
Common Stock	03/05/2014	S	600	D	\$ 46.08	551,283	D
Common Stock	03/05/2014	S	400	D	\$ 46.09	550,883	D
Common Stock	03/05/2014	S	1,300	D	\$ 46.1	549,583	D
Common Stock	03/05/2014	S	300	D	\$ 46.11	549,283	D
	03/05/2014	S	1,000	D		548,283	D

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Common Stock					\$ 46.12			
Common Stock	03/05/2014		S	600	D	\$ 46.13	547,683	D
Common Stock	03/05/2014		S	433	D	\$ 46.14	547,250	D
Common Stock	03/05/2014		S	700	D	\$ 46.15	546,550 ⁽¹⁾ <u>(2)</u>	D
Common Stock							5,790 ⁽³⁾	I
								The Guzzi Family Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.27	03/05/2014		M	38,235	<u>(4)</u> 01/02/2015	Common Stock 38,235

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Guzzi Anthony 301 MERRITT SEVEN NORWALK, CT 06851	X		President and CEO	

Signatures

Anthony Guzzi

03/07/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares issuable in respect of restricted stock units.
- (2) Transactions are continued on a Form 4 filed contemporaneously herewith.

These securities were transferred by the reporting person as a gift to The Guzzi Family Irrevocable Trust (the "Trust") for the benefit of
- (3) the reporting person's children. The reporting person's spouse is trustee of the Trust. Such transfer was reported on a previously filed Form 4. The reporting person disclaims beneficial ownership of such securities.
- (4) 1/3 exercisable on 1/03/06; 1/3 exercisable on 1/03/07; 1/3 exercisable on 1/03/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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