

CARVER BANCORP INC
Form 8-K
October 05, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): October 1, 2015

CARVER BANCORP, INC.
(Exact Name of Registrant as Specified in its Charter)

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|---|----------------------------------|---|
| Delaware (State or Other Jurisdiction of Incorporation) | 1-13007 (Commission File No.) | 13-3904174 (I.R.S. Employer Identification No.) |
|---|----------------------------------|---|

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|--|--------------------------|
| 75 West 125th Street, New York, NY (Address of Principal Executive Offices) | 10027-4512 (Zip Code) |
|--|--------------------------|

Registrant's telephone number, including area code: (212) 360-8820

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 - Other Event

On October 1, 2015, Carver Bancorp, Inc. (the "Company") issued a press release reporting that the Board of Governors of the Federal Reserve System (the "Federal Reserve") has terminated the Order to Cease and Desist on the Company issued by the Office of Thrift Supervision effective February 7, 2011. The Federal Reserve has further informed the

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Company that it is no longer in “troubled condition” as defined in Regulation LL Subpart H §238.72(c)(2). A copy of the press release is attached as Exhibit 99.1 to this report and incorporated herein by reference. The Company does not intend for this Item 8.01 or Exhibit 99.1 to be deemed "filed" for purposes of Section 18 of the Securities and Exchange Act of 1934 or to be incorporated by reference into filings under the Securities Act of 1933.

Item 9.01 Financial Statements and Exhibits

- (a) Financial statements of businesses acquired. Not Applicable.
- (b) Pro forma financial information. Not Applicable.
- (c) Shell company transactions: Not Applicable.
- (d) Exhibits

The following exhibit is filed as part of this report:

| Exhibit Number | Description |
|----------------|-------------|
|----------------|-------------|

| | |
|--------------|--|
| Exhibit 99.1 | Carver Bancorp, Inc. Press Release dated October 1, 2015 |
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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned duly authorized.

DATE: October 5, 2015

BY: /s/ Michael T. Pugh
Michael T. Pugh
President and Chief Executive
Officer