#### WINTRUST FINANCIAL CORP

Form 4

January 29, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **CRANE TIMOTHY** Issuer Symbol WINTRUST FINANCIAL CORP (Check all applicable) [WTFC] (Middle) (Last) (First) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) 9700 WEST HIGGINS ROAD, 8TH 01/27/2014 EXECUTIVE VICE PRESIDENT **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ROSEMONT, IL 60018 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Indirect (I) Ownership Owned (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D) Common 01/27/2014 F 150 D D 11,180 45.9 Stock Common 691 I By ESPP Stock Common by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|            | 2.          | 3. Transaction Date |                  | 4.<br>T     | 5.           | 6. Date Exerc       |                 | 7. Titl |            | 8. Price of | 9. Nu   |
|------------|-------------|---------------------|------------------|-------------|--------------|---------------------|-----------------|---------|------------|-------------|---------|
|            | Conversion  | (Month/Day/Year)    |                  | Transaction |              | Expiration Da       |                 | Amou    |            | Derivative  | Deriv   |
| Security   | or Exercise |                     | any              | Code        | of           | (Month/Day/         | Year)           | Under   | rlying     | Security    | Secui   |
| (Instr. 3) | Price of    |                     | (Month/Day/Year) | (Instr. 8)  | ) Derivative |                     |                 | Secur   | ities      | (Instr. 5)  | Bene    |
|            | Derivative  |                     |                  |             | Securities   |                     |                 | (Instr. | . 3 and 4) |             | Owne    |
|            | Security    |                     |                  |             | Acquired     |                     |                 |         |            |             | Follo   |
|            | Ť           |                     |                  |             | (A) or       |                     |                 |         |            |             | Repo    |
|            |             |                     |                  |             | Disposed     |                     |                 |         |            |             | Trans   |
|            |             |                     |                  |             | of (D)       |                     |                 |         |            |             | (Instr  |
|            |             |                     |                  |             | (Instr. 3,   |                     |                 |         |            |             | (IIIsti |
|            |             |                     |                  |             |              |                     |                 |         |            |             |         |
|            |             |                     |                  |             | 4, and 5)    |                     |                 |         |            |             |         |
|            |             |                     |                  |             |              |                     |                 |         | Amount     |             |         |
|            |             |                     |                  |             |              | D-4-                | Eiti            |         | or         |             |         |
|            |             |                     |                  |             |              | Date<br>Exercisable | Expiration Date | Title   | Number     |             |         |
|            |             |                     |                  |             |              |                     |                 |         | of         |             |         |
|            |             |                     |                  | Code V      | (A) (D)      |                     |                 |         | Shares     |             |         |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CRANE TIMOTHY 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018

**EXECUTIVE VICE PRESIDENT** 

### **Signatures**

/s/Lisa J. Pattis, Attorney-in-fact 01/29/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2