

HUDSON TECHNOLOGIES INC /NY

Form 4/A

August 30, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HARKINS CHARLES F

2. Issuer Name and Ticker or Trading Symbol  
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

39 BARTELMASS LANE

08/22/2016

Vice President Sales

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
08/24/2016

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

HAMPSTEAD, NH 03841

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	08/22/2016		M		160,000 A \$ 3.23 260,000 <sup>(1)</sup>	D	
Common Stock	08/22/2016		M		125,000 D \$ 5.472 135,000 <sup>(1)</sup> <sub>(2)</sub>	D	
Common Stock	08/23/2016		M		35,000 D \$ 5.7 100,000 <sup>(1)</sup>	D	
Common Stock	08/23/2016		M		100,000 A \$ 3.79 100,000	D	
Common Stock	08/23/2016		M		80,000 D \$ 6.17 <sub>(3)</sub> 20,000	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Stock Option (Right to Buy)	\$ 3.23	08/22/2016		M	160,000	10/01/2014 10/01/2017	Common Stock 160,000
Stock Option (Right to Buy)	\$ 3.79	08/23/2016		M	100,000	07/18/2016 07/18/2019	Common Stock 100,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARKINS CHARLES F 39 BARTELMASS LANE HAMPSTEAD, NH 03841			Vice President Sales	

## Signatures

/s/ Charles F. Harkins 08/30/2016

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 100,000 shares which may be purchased pursuant to stock options.
- (2) Sales reported reflect average selling price. Selling price for all shares sold during the day ranged from \$5.47 per share to \$5.48 per share.
- (3) Sales reported reflect average selling price. Selling price for all shares sold during the day ranged from \$6.15 per share to \$6.18 per share.

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