

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-A

Pioneer Floating Rate Trust  
Form NSAR-A  
July 30, 2013

PAGE 1  
000 A000000 05/31/2013  
000 C000000 0001305767  
000 D000000 N  
000 E000000 NF  
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000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 PIONEER FLOATING RATE TRUST  
001 B000000 811-21654  
001 C000000 6177427825  
002 A000000 60 STATE STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
003 000000 N  
004 000000 N  
005 000000 N  
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007 C020100 PIONEER FLOATING RATE TRUST  
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007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
008 B000001 A  
008 C000001 801-8255  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
010 B000001 801-8225  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 STATE STREET BANK & TRUST COMPANY  
010 C010002 BOSTON  
010 C020002 MA  
010 C030002 02101  
010 A000003 BROWN BROTHERS HARRIMAN & CO  
010 C010003 BOSTON  
PAGE 2  
010 C020003 MA  
010 C030003 02109  
011 A000001 MERRILL LYNCH & CO.  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY

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011 C030001 10281  
011 C040001 1201  
011 A000002 UBS INVESTMENT BANK  
011 B000002 8-022651  
011 C010002 STAMFORD  
011 C020002 CT  
011 C030002 06901  
011 A000003 RBC CAPITAL MARKETS CORP.  
011 B000003 8-045411  
011 C010003 MINNEAPOLIS  
011 C020003 MN  
011 C030003 55402  
011 C040003 4422  
011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
011 C020004 CT  
011 C030004 06103  
011 A000005 JANNEY MONTGOMERY SCOTT, LLC  
011 B000005 8-462  
011 C010005 PHILADELPHIA  
011 C020005 PA  
011 C030005 19103  
011 C040005 1675  
011 A000006 A.G. EDWARDS  
011 B000006 8-13580  
011 C010006 ST. LOUIS  
011 C020006 MO  
011 C030006 63103  
011 A000007 STIFEL, NICOLAUS & CO., INC.  
011 B000007 8-001447  
011 C010007 ST. LOUIS  
011 C020007 MO  
011 C030007 63102  
011 A000008 ROBERT W. BAIRD & CO.  
011 B000008 8-00497  
011 C010008 MILWAUKEE  
011 C020008 WI  
011 C030008 53201  
011 A000009 FERRIS, BAKER WATTS, INC.  
011 B000009 8-16427  
011 C010009 BALTIMORE  
011 C020009 MD  
011 C030009 21202  
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.  
PAGE 3  
011 B000010 8-03868  
011 C010010 LOUISVILLE  
011 C020010 KY  
011 C030010 40202  
011 A000011 KEYBANC CAPITAL MARKETS  
011 B000011 8-0000  
011 C010011 CLEVELAND  
011 C020011 OH  
011 C030011 44114  
011 A000012 MORGAN KEEGAN & CO., INC.  
011 B000012 8-15001  
011 C010012 MEMPHIS  
011 C020012 TN  
011 C030012 38103  
011 A000013 OPPENHEIMER & CO.  
011 B000013 8-04077

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011 C010013 NEW YORK  
011 C020013 NY  
011 C030013 10021  
011 A000014 RYAN BECK & CO., INC.  
011 B000014 8-12296  
011 C010014 FLORHAM PARK  
011 C020014 NJ  
011 C030014 07932  
011 A000015 SUNTRUST ROBINSON HUMPHREY  
011 B000015 8-17212  
011 C010015 ATLANTA  
011 C020015 GA  
011 C030015 30308  
011 A000016 WEDBUSH MORGAN SECURITIES, LLC.  
011 B000016 8-12987  
011 C010016 LOS ANGELES  
011 C020016 CA  
011 C030016 90017  
011 A000017 WELLS FARGO SECURITIES, LLC  
011 B000017 8-22947  
011 C010017 SAN FRANCISCO  
011 C020017 CA  
011 C030017 94101  
012 A000001 PIONEER INVEST. MGMT. SHAREHOLDER SVCS., INC.  
012 B000001 85-1359  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02109  
012 A000002 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)  
012 B000002 85-0000  
012 C010002 BROOKLYN  
012 C020002 NY  
012 C030002 11219  
012 A000003 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)  
012 B000003 85-0000  
PAGE 4  
012 C010003 NEW YORK  
012 C020003 NY  
012 C030003 10005  
013 A000001 ERNST & YOUNG, LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02116  
013 B040001 5072  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
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015 C020001 MA  
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015 A000002 CITIBANK, N.A. BUENOS AIRES BRANCH  
015 B000002 S  
015 C010002 BUENOS AIRES  
015 D010002 ARGENTINA  
015 E040002 X  
015 A000003 HSBC BANK AUSTRALIA LIMITED  
015 B000003 S  
015 C010003 SYDNEY  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 UNICREDIT BANK AUSTRIA AG  
015 B000004 S

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015 C010004 VIENNA  
015 D010004 AUSTRIA  
015 E040004 X  
015 A000005 STANDARD CHARTERED BANK, BANGLADESH BRANCH  
015 B000005 S  
015 C010005 DHAKA  
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015 E040005 X  
015 A000006 EUROCLEAR BANK SA NV  
015 B000006 S  
015 C010006 BRUSSELS  
015 D010006 BELGIUM  
015 E040006 X  
015 A000007 CITIBANK, N.A., SAO PAULO  
015 B000007 S  
015 C010007 SAO PAULO  
015 D010007 BRAZIL  
015 E040007 X  
015 A000008 ING BANK, N.V., SOFIA BRANCH  
015 B000008 S  
015 C010008 SOFIA  
015 D010008 BULGARIA  
015 E040008 X  
015 A000009 RBC INVESTOR SERVICES TRUST  
015 B000009 S

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015 C010009 TORONTO  
015 D010009 CANADA  
015 E040009 X  
015 A000010 BANCO DE CHILE  
015 B000010 S  
015 C010010 SANTIAGO  
015 D010010 CHILE  
015 E040010 X  
015 A000011 STANDARD CHARTERED BANK (CHINA) LIMITED  
015 B000011 S  
015 C010011 SHANGHAI  
015 D010011 CHINA  
015 E040011 X  
015 A000012 CITITRUST COLOMBIA S.A., SOCIEDAD FIDUCIARA  
015 B000012 S  
015 C010012 BOGOTA  
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015 E040012 X  
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015 E040013 X  
015 A000014 CITIBANK EUROPE PLC, ORGANIZATION SLOZKA  
015 B000014 S  
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015 D010014 CZECH REPUBLIC  
015 E040014 X  
015 A000015 DELETE  
015 A000016 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)  
015 B000016 S  
015 C010016 COPENHAGEN  
015 D010016 DENMARK  
015 E040016 X  
015 A000017 CITIBANK, N.A., CAIRO BRANCH  
015 B000017 S

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015 C010017 CAIRO  
015 D010017 EGYPT  
015 E040017 X  
015 A000018 NORDEA BANK FINLAND PLC  
015 B000018 S  
015 C010018 HELSINKI  
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015 E040018 X  
015 A000019 BNP PARIBAS SECURITIES SERVICES  
015 B000019 S  
015 C010019 PARIS  
015 D010019 FRANCE  
015 E040019 X  
015 A000020 BNP PARIBAS SECURITIES SERVICES  
015 B000020 S  
PAGE 6  
015 C010020 FRANKFURT  
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015 E040020 X  
015 A000021 HSBC BANK PLC, ATHENS BRANCH  
015 B000021 S  
015 C010021 ATHENS  
015 D010021 GREECE  
015 E040021 X  
015 A000022 STANDARD CHARTERED BANK (HONG KONG) LIMITED  
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015 C010022 KWON TONG  
015 D010022 HONG KONG  
015 E040022 X  
015 A000023 CITIBANK EUROPE PLC, HUNGARIAN BRANCH OFFICE  
015 B000023 S  
015 C010023 BUDAPEST  
015 D010023 HUNGARY  
015 E040023 X  
015 A000024 CITIBANK, N.A., MUMBAI BRANCH  
015 B000024 S  
015 C010024 MUMBAI  
015 D010024 INDIA  
015 E040024 X  
015 A000025 CITIBANK, N.A., JAKARTA BRANCH  
015 B000025 S  
015 C010025 JAKARTA  
015 D010025 INDONESIA  
015 E040025 X  
015 A000026 CITIBANK, N.A., LONDON BRANCH  
015 B000026 S  
015 C010026 LONDON  
015 D010026 IRELAND  
015 E040026 X  
015 A000027 BANK HAPOALIM BM  
015 B000027 S  
015 C010027 TEL AVIV  
015 D010027 ISRAEL  
015 E040027 X  
015 A000028 BNP PARIBAS SECURITIES SERVICES, MILAN BRANCH  
015 B000028 S  
015 C010028 MILAN  
015 D010028 ITALY  
015 E040028 X  
015 A000029 SUMITOMO MITSU BANKING CORPORATION  
015 B000029 S  
015 C010029 TOKYO

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015 D010029 JAPAN  
015 E040029 X  
015 A000030 HSBC BANK MIDDLE EAST LIMITED, KUWAIT BRANCH  
015 B000030 S  
015 C010030 SAFAT

PAGE 7

015 D010030 KUWAIT  
015 E040030 X  
015 A000031 KBL EUROPEAN PRIVATE BANKERS SA  
015 B000031 S  
015 C010031 LUXEMBOURG  
015 D010031 LUXEMBOURG  
015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
015 B000032 S  
015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 DELETE  
015 A000034 BANCO NACIONAL DE MEXICO, S.A. (BANAMEX)  
015 B000034 S  
015 C010034 MEXICO CITY  
015 D010034 MEXICO  
015 E040034 X  
015 A000035 CITIBANK MAGHREB  
015 B000035 S  
015 C010035 CASABLANCA  
015 D010035 MOROCCO  
015 E040035 X  
015 A000036 BNP PARIBAS SECURITIES SERVICES  
015 B000036 S  
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015 D010036 NETHERLANDS  
015 E040036 X  
015 A000037 HSBC LTD., NEW ZEALAND BRANCH  
015 B000037 S  
015 C010037 AUCKLAND  
015 D010037 NEW ZEALAND  
015 E040037 X  
015 A000038 NORDEA BANK NORGE ASA  
015 B000038 S  
015 C010038 OSLO  
015 D010038 NORWAY  
015 E040038 X  
015 A000039 DELETE  
015 A000040 CITIBANK DEL PERU S.A.  
015 B000040 S  
015 C010040 LIMA  
015 D010040 PERU  
015 E040040 X  
015 A000041 HSBC LTD., PHILIPPINE BRANCH  
015 B000041 S  
015 C010041 TAGUIG CITY  
015 D010041 PHILIPPINES  
015 E040041 X  
015 A000042 BANK HANDLOWY W WARSZAWIE SA  
015 B000042 S

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015 C010042 WARSAW  
015 D010042 POLAND  
015 E040042 X  
015 A000043 BNP PARIBAS SECURITIES SERVICES

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015 B000043 S  
015 C010043 PARIS  
015 D010043 PORTUGAL  
015 E040043 X  
015 A000044 ING BANK N.V., BUCHAREST BRANCH  
015 B000044 S  
015 C010044 BUCHAREST  
015 D010044 ROMANIA  
015 E040044 X  
015 A000045 ZAO CITIBANK  
015 B000045 S  
015 C010045 MOSCOW  
015 D010045 RUSSIA  
015 E040045 X  
015 A000046 STANDARD CHARTERED BANK, SINGAPORE BRANCH  
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015 D010046 SINGAPORE  
015 E040046 X  
015 A000047 ING BANK NV, BRATISLAVA BRANCH  
015 B000047 S  
015 C010047 BRATISLAVA  
015 D010047 SLOVAKIA  
015 E040047 X  
015 A000048 STANDARD BANK OF SOUTH AFRICA LIMITED  
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015 C010048 JOHANNESBURG  
015 D010048 SOUTH AFRICA  
015 E040048 X  
015 A000049 DELETE  
015 A000050 DELETE  
015 A000051 BANCO BILBAO VIZCAYA AREGENTARIA SA  
015 B000051 S  
015 C010051 MADRID  
015 D010051 SPAIN  
015 E040051 X  
015 A000052 HSBC LTD, SRI LANKA BRANCH  
015 B000052 S  
015 C010052 COLOMBO  
015 D010052 SRI LANKA  
015 E040052 X  
015 A000053 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)  
015 B000053 S  
015 C010053 STOCKHOLM  
015 D010053 SWEDEN  
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015 A000054 CREDIT SUISSE AG  
PAGE 9  
015 B000054 S  
015 C010054 ZURICH  
015 D010054 SWITZERLAND  
015 E040054 X  
015 A000055 BANK OF TAIWAN  
015 B000055 S  
015 C010055 TAIPEI  
015 D010055 TAIWAN  
015 E040055 X  
015 A000056 HSBC LTD., THAILAND BRANCH  
015 B000056 S  
015 C010056 BANGKOK  
015 D010056 THAILAND  
015 E040056 X

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015 A000057 CITIBANK ANONIM SIRKETI  
015 B000057 S  
015 C010057 ISTANBUL  
015 D010057 TURKEY  
015 E040057 X  
015 A000058 HSBC BANK MIDDLE EAST LIMITED  
015 B000058 S  
015 C010058 DUBAI  
015 D010058 UNITED ARAB EMIRATES  
015 E040058 X  
015 A000059 HSBC BANK PLC  
015 B000059 S  
015 C010059 LONDON  
015 D010059 UNITED KINGDOM  
015 E040059 X  
015 A000060 CITIBANK, N.A., CARACAS BRANCH  
015 B000060 S  
015 C010060 CARACAS  
015 D010060 VENEZUELA  
015 E040060 X  
015 A000061 HSBC BANK (VIETNAM) LTD  
015 B000061 S  
015 C010061 HO CHI MINH CITY  
015 D010061 VIETNAM  
015 E040061 X  
015 A000062 DELETE  
015 A000063 CFC STANBIC BANK LIMITED  
015 B000063 S  
015 C010063 NAIROBI  
015 D010063 KENYA  
015 E040063 X  
015 A000064 BNP PARIBUS SERVICES  
015 B000064 S  
015 C010064 PARIS  
015 D010064 BELGIUM  
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015 A000065 STANDARD CHARTERED BANK GHANA LTD.  
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015 C010065 ACCRA  
015 D010065 GHANA  
015 E040065 X  
015 A000066 MSBC BANK MIDDLE EAST LIMITED, JORDAN BRANCH  
015 B000066 S  
015 C010066 AMMAN  
015 D010066 JORDAN  
015 E040066 X  
015 A000067 STANBIC IBTC BANK PLC  
015 B000067 S  
015 C010067 LAGOS  
015 D010067 NIGERIA  
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015 A000068 HSBC BANK MIDDLE EAST, QATAR BRANCH  
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015 C010068 JERSEY  
015 D010068 QATAR  
015 E040068 X  
015 A000069 HSBC SAUDIA ARABIA LIMITED  
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015 C010069 RIYADH  
015 D010069 SAUDIA ARABIA  
015 E040069 X



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 022 A000001 J.P. MORGAN SECURITIES LLC  
 022 B000001 13-3299429  
 022 C000001 1386150  
 022 D000001 2016  
 022 A000002 DEUTSCHE BANK SECURITIES, INC.  
 022 B000002 13-2730828  
 022 C000002 1300744  
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 PAGE 11  
 022 A000003 SCOTIA CAPITAL (USA) INC.  
 022 B000003 13-5239583  
 022 C000003 1165550  
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 022 A000004 CREDIT SUISSE SECURITIES (USA) LLC  
 022 B000004 05-0546650  
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 022 B000005 94-2842977  
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 022 A000006 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
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 022 A000007 BARCLAYS CAPITAL INC.  
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 022 A000008 GOLDMAN, SACHS & CO.  
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 022 A000009 CITIGROUP GLOBAL MARKETS, INC.  
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 022 B000010 13-2655998  
 022 C000010 9450  
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| 062 | Q000000 |    | 141.3 |
| 062 | R000000 |    | 4.3   |
| 063 | A000000 |    | 0     |
| 063 | B000000 |    | 4.8   |
| 066 | A000000 | N  |       |
| 067 | 000000  | N  |       |
| 068 | A000000 | N  |       |
| 068 | B000000 | N  |       |
| 069 | 000000  | N  |       |
| 070 | A010000 | Y  |       |
| 070 | A020000 | Y  |       |
| 070 | B010000 | Y  |       |
| 070 | B020000 | N  |       |
| 070 | C010000 | Y  |       |
| 070 | C020000 | N  |       |
| 070 | D010000 | Y  |       |
| 070 | D020000 | N  |       |
| 070 | E010000 | Y  |       |
| 070 | E020000 | N  |       |
| 070 | F010000 | Y  |       |
| 070 | F020000 | N  |       |
| 070 | G010000 | Y  |       |
| 070 | G020000 | N  |       |
| 070 | H010000 | Y  |       |
| 070 | H020000 | N  |       |
| 070 | I010000 | N  |       |
| 070 | I020000 | N  |       |
| 070 | J010000 | Y  |       |
| 070 | J020000 | Y  |       |
| 070 | K010000 | Y  |       |
|     | PAGE    | 15 |       |
| 070 | K020000 | N  |       |
| 070 | L010000 | Y  |       |
| 070 | L020000 | Y  |       |
| 070 | M010000 | Y  |       |
| 070 | M020000 | Y  |       |
| 070 | N010000 | Y  |       |
| 070 | N020000 | N  |       |
| 070 | O010000 | Y  |       |
| 070 | O020000 | N  |       |
| 070 | P010000 | Y  |       |
| 070 | P020000 | N  |       |
| 070 | Q010000 | N  |       |

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|         |         |   |        |
|---------|---------|---|--------|
| 070     | Q020000 | N |        |
| 070     | R010000 | N |        |
| 070     | R020000 | N |        |
| 071     | A000000 |   | 227206 |
| 071     | B000000 |   | 230530 |
| 071     | C000000 |   | 508502 |
| 071     | D000000 |   | 45     |
| 072     | A000000 | 6 |        |
| 072     | B000000 |   | 15239  |
| 072     | C000000 |   | 67     |
| 072     | D000000 |   | 0      |
| 072     | E000000 |   | 754    |
| 072     | F000000 |   | 1775   |
| 072     | G000000 |   | 120    |
| 072     | H000000 |   | 0      |
| 072     | I000000 |   | 13     |
| 072     | J000000 |   | 24     |
| 072     | K000000 |   | 0      |
| 072     | L000000 |   | 15     |
| 072     | M000000 |   | 8      |
| 072     | N000000 |   | 6      |
| 072     | O000000 |   | 0      |
| 072     | P000000 |   | 0      |
| 072     | Q000000 |   | 0      |
| 072     | R000000 |   | 37     |
| 072     | S000000 |   | 121    |
| 072     | T000000 |   | 0      |
| 072     | U000000 |   | 0      |
| 072     | V000000 |   | 0      |
| 072     | W000000 |   | 362    |
| 072     | X000000 |   | 2481   |
| 072     | Y000000 |   | 0      |
| 072     | Z000000 |   | 13579  |
| 072AA   | 000000  |   | 0      |
| 072BB   | 000000  |   | 1403   |
| 072CC   | 010000  |   | 5417   |
| 072CC   | 020000  |   | 0      |
| 072DD   | 010000  |   | 11123  |
| 072DD   | 020000  |   | 0      |
| PAGE 16 |         |   |        |
| 072EE   | 000000  |   | 0      |
| 073     | A010000 |   | 0.4500 |
| 073     | A020000 |   | 0.0000 |
| 073     | B000000 |   | 0.0000 |
| 073     | C000000 |   | 0.0000 |
| 074     | A000000 |   | 1148   |
| 074     | B000000 |   | 32815  |
| 074     | C000000 |   | 0      |
| 074     | D000000 |   | 480350 |
| 074     | E000000 |   | 0      |
| 074     | F000000 |   | 11566  |
| 074     | G000000 |   | 0      |
| 074     | H000000 |   | 0      |
| 074     | I000000 |   | 2710   |
| 074     | J000000 |   | 11803  |
| 074     | K000000 |   | 0      |
| 074     | L000000 |   | 2784   |
| 074     | M000000 |   | 59     |
| 074     | N000000 |   | 543235 |
| 074     | O000000 |   | 32099  |
| 074     | P000000 |   | 294    |
| 074     | Q000000 |   | 0      |

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|             |                    |
|-------------|--------------------|
| 074 R010000 | 0                  |
| 074 R020000 | 0                  |
| 074 R030000 | 0                  |
| 074 R040000 | 405                |
| 074 S000000 | 182470             |
| 074 T000000 | 327967             |
| 074 U010000 | 24730              |
| 074 U020000 | 0                  |
| 074 V010000 | 13.26              |
| 074 V020000 | 0.00               |
| 074 W000000 | 0.0000             |
| 074 X000000 | 6788               |
| 074 Y000000 | 0                  |
| 075 A000000 | 0                  |
| 075 B000000 | 326188             |
| 076 000000  | 13.77              |
| 077 A000000 | N                  |
| 078 000000  | N                  |
| 080 C000000 | 0                  |
| 081 B000000 | 0                  |
| 082 B000000 | 0                  |
| 083 B000000 | 0                  |
| 084 B000000 | 0                  |
| 086 A010000 | 25                 |
| 086 A020000 | 329                |
| 086 B010000 | 0                  |
| 086 B020000 | 0                  |
| 086 C010000 | 0                  |
| 086 C020000 | 0                  |
| PAGE 17     |                    |
| 086 D010000 | 0                  |
| 086 D020000 | 0                  |
| 086 E010000 | 0                  |
| 086 E020000 | 0                  |
| 086 F010000 | 0                  |
| 086 F020000 | 0                  |
| 087 A010000 | COMMON STOCK       |
| 087 A020000 | 72369J102          |
| 087 A030000 | PHD                |
| 087 B010000 | PREFERRED STOCK M7 |
| 087 B020000 | 72369J201          |
| 087 C010000 | PREFERRED STOCK W7 |
| 087 C020000 | 72369J300          |
| 088 A000000 | N                  |
| 088 B000000 | N                  |
| 088 C000000 | N                  |
| 088 D000000 | Y                  |
| SIGNATURE   | MARK E. BRADLEY    |
| TITLE       | TREASURER          |